

Quartic and D_ℓ Fields of Degree ℓ with given Resolvent

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Introduction I

Number fields will always be considered up to isomorphism.
Dirichlet series associated to number fields of given degree n :

$$\Phi_n(s) = \sum_{[K:\mathbb{Q}]=n} |\text{disc}(K)|^{-s} .$$

Knowing Φ_n explicitly is equivalent to knowing how many K for each discriminant. One usually imposes additional conditions : for instance $\Phi_n(G; s)$: Galois group of the Galois closure isomorphic to G , or $\Phi_n(k; s)$: here k quadratic resolvent field of degree ℓ field of Galois group D_ℓ , more generally degree d resolvent field of semi-direct product of a subgroup of $(\mathbb{Z}/\ell\mathbb{Z})^*$ of order d by C_ℓ , for instance quadratic resolvent of cubic, also cubic resolvent of quartic.

Introduction II

Theorem (Mäki et al)

If G is an *abelian* group then $\Phi_n(G; s)$ is an explicitly determinable *finite* linear combination of (infinite) Euler products.

Examples :

$$\Phi_2(C_2; s) = -1 + \left(1 + \frac{1}{2^{2s}} + \frac{2}{2^{3s}}\right) \prod_{p \neq 2} \left(1 + \frac{1}{p^s}\right),$$

$$\Phi_3(C_3; s) = -\frac{1}{2} + \frac{1}{2} \left(1 + \frac{2}{3^{4s}}\right) \prod_{p \equiv 1 \pmod{6}} \left(1 + \frac{2}{p^{2s}}\right).$$

If G is not abelian, conjecturally not possible.

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Introduction III

Instead of fixing the Galois group, we can fix the **resolvent** field.

Examples :

- If K is a noncyclic cubic field, or more generally a field of degree ℓ with Galois closure D_ℓ , its Galois closure contains a unique quadratic field $k = \mathbb{Q}(\sqrt{D})$, the **quadratic resolvent**. We may want to consider $\Phi_\ell(k; s)$, where k (or D) is fixed.
- More generally, same with D_ℓ replaced by semidirect product of C_ℓ with subgroup of $(\mathbb{Z}/\ell\mathbb{Z})^*$.
- If K is a quartic field with A_4 or S_4 Galois group of Galois closure, the latter contains a cubic field k , unique and cyclic in the A_4 case, and unique up to conjugation and noncyclic in the S_4 case, the **cubic resolvent**. We may want to consider $\Phi_4(k; s)$, where k is fixed.

Theorem

- (Morra, C., 2008.) $\Phi_3(k; s)$ is a finite linear combination of explicit Euler products.
- (Diaz y Diaz, Olivier, C., 2000.) $\Phi_4(k; s)$ is a finite linear combination of explicit Euler products.
- (C., 2012.) $\Phi_\ell(k; s)$ is a finite linear combination of explicit Euler products.

$M_n(k; X)$: Number of fields K of degree n with resolvent k and $f(K) \leq X$.

Corollary

There exist strictly positive constants $C_n(k)$ such that $M_n(k; X) = C_n(k) \cdot X + O(X^{1-1/\ell})$ (much better remainder terms can be obtained) **with the following exception** : in the D_ℓ case, if $k = \mathbb{Q}(\sqrt{\ell^*})$ with $\ell^* = (-1)^{(\ell-1)/2}\ell$ and $\ell \equiv 3 \pmod{4}$ then $M_n(k; X) = C_n(k) \cdot (X \log(X) + C'_n(k)X) + O(X^{1-1/\ell})$.

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Introduction V

Unfortunately, in all of these results, “explicit” is not very nice : all involve sums over characters of certain subgroups or twisted ray class groups, not easy to determine in general, (easy in each specific case).

In fact, case in point : knowledge of the size of say 3-part of class groups is very poor : smaller than the whole, but gaining a small exponent is hard (Ellenberg–Venkatesh). For instance, conjecturally the number of cubic fields of given discriminant d should be d^ε for any $\varepsilon > 0$, but the best known result due to EV is $d^{1/3+\varepsilon}$.

We do not improve on this, but give instead nice explicit formulas for $\Phi_\ell(k; s)$ (in particular $\Phi_3(k; s)$) and $\Phi_4(k; s)$. Note that for Φ_ℓ we have $\text{disc}(K) = \text{disc}(k)^{(\ell-1)/2} f(K)^{\ell-1}$ and for Φ_4 we have $\text{disc}(K) = \text{disc}(k) f(K)^2$ for some $f(K) \in \mathbb{Z}_{\geq 1}$. We set

$$\Phi_n(k; s) = 1/c(n) + \sum_K f(K)^{-s},$$

where $c(n) = 1/(\ell - 1)$ for Φ_ℓ and $c(n) = 1/\text{Aut}(k)$ for Φ_4 .

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The Cubic Case : C.-Morra I

In a preceding talk, gave statements and details of the proofs of the theorems. Here, we give the theorems but focus on algorithmic aspects.

Note : D always a fundamental discriminant, resolvent quadratic field $k = \mathbb{Q}(\sqrt{D})$. We set $L = \mathbb{Q}(\sqrt{D}, \sqrt{-3})$, biquadratic field, τ_1, τ_2 generators of $G = \text{Gal}(L/\mathbb{Q})$, $T = \{\tau_1 + 1, \tau_2 + 1\}$ in the group ring $\mathbb{F}_3[G]$, and $\mathcal{B} = \{(1), (\sqrt{-3}), (3), (3\sqrt{-3})\}$ as ideals of L .
The ray class groups which occur here are

$$G_b = (C_b(L)/C_b(L)^3)[T], \quad \text{with } b \in \mathcal{B}.$$

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The ray class groups which occur here are

$$G_{\mathfrak{b}} = (Cl_{\mathfrak{b}}(L)/Cl_{\mathfrak{b}}(L)^3)[T], \quad \text{with } \mathfrak{b} \in \mathcal{B}.$$

The Cubic Case : C.-Morra II

The main theorem of C.-Morra and of the first part of Morra's thesis is that

$$\Phi_3(D; s) = \sum_{\mathfrak{b} \in \mathcal{B}} A_{\mathfrak{b}}(s) \sum_{\chi \in \widehat{G}_{\mathfrak{b}}} \omega_{\chi}(\mathfrak{3}) F(\mathfrak{b}, \chi, s),$$

where $A_{\mathfrak{b}}(s)$ are constant multiples of a single Euler factor at $\mathfrak{3}$, ω_{χ} depends on the character χ but takes only the values 0 , ± 1 , and 2 , and

$$F(\mathfrak{b}, \chi, s) = \prod_{\left(\frac{-3D}{p}\right)=1} \left(1 + \frac{\omega_{\chi}(p)}{p^s}\right).$$

The Cubic Case : C.-Morra III

This proves the claim that we have an “explicit” finite linear combination of Euler products. However not very easy to use in practice. It does lead however to the estimate given above :

$$M_3(D; X) = C_3(D) \cdot X + O(X^{2/3}) ,$$

except in the special case $D = -3$ (enumeration of pure cubic fields) where the result is

$$M_3(D; X) = C_3(D) \cdot X(\log(X) + C'_3(D)) + O(X^{2/3}) .$$

The Cubic Case ; C.-Thorne I

In joint work with **F. Thorne**, we have transformed the above theorem into a much more usable formula. Need to define :

- D^* discriminant of **mirror field** of $k = \mathbb{Q}(\sqrt{D})$, i.e., $D^* = -3D$ if $3 \nmid D$, $D^* = -D/3$ if $3 \mid D$.
- \mathcal{L}_N : cubic fields of discriminant N (only used for $N = D^*$ and $N = -27D$).
- $\mathcal{L}(D) = \mathcal{L}_{D^*} \cup \mathcal{L}_{-27D}$.
- If E is a cubic field and p a prime number,

$$\omega_E(p) = \begin{cases} -1 & \text{if } p \text{ is inert in } E, \\ 2 & \text{if } p \text{ is totally split in } E, \\ 0 & \text{otherwise.} \end{cases}$$

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The Cubic Case : C.-Thorne II

Theorem (Thorne, C.)

We have

$$c_D \Phi_3(D; s) = \frac{1}{2} M_1(s) \prod_{\left(\frac{-3D}{p}\right)=1} \left(1 + \frac{2}{p^s}\right) + \sum_{E \in \mathcal{L}(D)} M_{2,E}(s) \prod_{\left(\frac{-3D}{p}\right)=1} \left(1 + \frac{\omega_E(p)}{p^s}\right)$$

where $c_D = 1$ if $D = 1$ or $D < -3$, $c_D = 3$ if $D = -3$ or $D > 1$, and the 3-Euler factors $M_1(s)$ and $M_{2,E}(s)$ are given in the following table.

Condition on D	$M_1(s)$	$M_{2,E}(s), E \in \mathcal{L}_{D^*}$	$M_{2,E}(s), E \in \mathcal{L}_{-27D}$
$3 \nmid D$	$1 + 2/3^{2s}$	$1 + 2/3^{2s}$	$1 - 1/3^{2s}$
$D \equiv 3 \pmod{9}$	$1 + 2/3^s$	$1 + 2/3^s$	$1 - 1/3^s$
$D \equiv 6 \pmod{9}$	$1 + 2/3^s + 6/3^{2s}$	$1 + 2/3^s + 3\omega_E(3)/3^{2s}$	$1 - 1/3^s$

The Cubic Case : Examples

Examples :

$$\Phi_3(-4; s) = \frac{1}{2} \left(1 + \frac{2}{3^{2s}} \right) \prod_{\left(\frac{12}{p}\right)=1} \left(1 + \frac{2}{p^s} \right) .$$

Here $\mathcal{L}(D) = \emptyset$.

$$\begin{aligned} \Phi_3(-255; s) &= \frac{1}{2} \left(1 + \frac{2}{3^s} + \frac{6}{3^{2s}} \right) \prod_{\left(\frac{6885}{p}\right)=1} \left(1 + \frac{2}{p^s} \right) \\ &\quad + \left(1 - \frac{1}{3^s} \right) \prod_p \left(1 + \frac{\omega_E(p)}{p^s} \right) , \end{aligned}$$

where E is the cubic field determined by $x^3 - 12x - 1 = 0$.

In words, the splitting of primes in the single cubic field E determines all cubic fields with quadratic resolvent $\mathbb{Q}(\sqrt{-255})$ (“One field to rule them all”).

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The Cubic Case : Proof

Several ideas enter in the proof of Thorne's theorem. An easy one is to show that there exists a bijection between pairs of conjugate characters χ of G_b and fields $E \in \mathcal{L}(D)$.

A more difficult one is the use of a relatively recent theorem of Nakagawa–Ono giving exact identities between class numbers of certain **cubic forms**.

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The Cubic Case : Comments I

To estimate the number of cubic fields of **given** discriminant Dn^2 , it is in particular necessary to estimate the number of auxiliary fields E which occur, i.e., the cardinality of $\mathcal{L}(D)$. This is given as follows :

Theorem (Nakagawa, Ono, Thorne)

Denote by $\text{rk}_3(D)$ the 3-rank of the class group of $k = \mathbb{Q}(\sqrt{D})$. We have

$$|\mathcal{L}(D)| = \begin{cases} (3^{\text{rk}_3(D)} - 1)/2 & \text{if } D < 0, \\ (3^{\text{rk}_3(D)+1} - 1)/2 & \text{if } D > 0. \end{cases}$$

As mentioned, the problem is that we have only **very weak** upper bounds for $3^{\text{rk}_3(D)}$ (in $O(|D|^{1/3+\varepsilon})$), although should be $O(|D|^\varepsilon)$.

A special case of the above, already in C.-Morra, is a consequence of a precise form of Scholtz's mirror theorem : if $D < 0$ and $3 \nmid h(D)$ then $\mathcal{L}(D) = \emptyset$, so the formula is as simple as possible.

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The Cubic Case : Comments II

Computing the number $N_3(k; X)$ of cubic fields having a given quadratic resolvent $k = \mathbb{Q}(\sqrt{D})$ and absolute discriminant up to X can be done **very fast** using the theorem and standard techniques of analytic number theory ($X = 10^{20}$ is feasible), see below. We can also sum on D and compute the total number $N_3(X)$ of cubic fields, although this is less efficient than the method of **K. Belabas**.

It is tempting to try to prove the known result that $N_3(X) \sim c \cdot X$ for a known constant c (essentially $c = 1/\zeta(3)$). It is probably possible to do this, or at least to obtain $N_3(X) = O(X^{1+\epsilon})$, but since this has been proved (rather easily in fact) by other methods, it seems to be unnecessary work.

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The Cubic Case : Algorithmic Aspects

Using the above formula, it is natural to want to do two things :

- Compute the constants $C_3(D)$ such that $M_3(D; X) = C_3(D) \cdot X + O(X^{2/3})$ (and similar if $D = -3$).
- Compute **exactly** $M_3(D; X)$ for reasonable D and large values of X .

The constant $C_3(D)$ (for $D \neq -3$) is given by the following formula, where we recall that $D^* = -3D$ if $3 \nmid D$ and $D^* = -D/3$ otherwise :

$$C_3(D) = c_1(D) \operatorname{Res}_{s=1} \prod_{\left(\frac{D^*}{p}\right)=1} \left(1 + \frac{2}{p^s}\right),$$

where $c_1(D) = 11/9, 5/3, 7/5$ for $3 \nmid D, D \equiv 3 \pmod{9}$, and $D \equiv 6 \pmod{9}$ respectively, and $\operatorname{Res}_{s=1}$ denotes the residue at 1.

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The Cubic Case : Computing $C_3(D)$ I

To compute this residue we use a well-known “folklore trick” : let $k' = \mathbb{Q}(\sqrt{D^*})$ be the mirror field of $k = \mathbb{Q}(\sqrt{D})$, and $\zeta_{k'}(s)$ its Dedekind zeta function. We can write $\zeta_{k'}(s)/\zeta(2s) = P_1(s)P_0(s)$ with

$$P_1(s) = \prod_{\left(\frac{D^*}{p}\right)=1} \frac{1 + 1/p^s}{1 - 1/p^s} \quad \text{and} \quad P_0(s) = \prod_{p|D^*} (1 + 1/p^s),$$

in other words

$$L(s) := \frac{\zeta_{k'}(s)}{\zeta(2s)P_0(s)} = \prod_{\left(\frac{D^*}{p}\right)=1} \frac{1 + 1/p^s}{1 - 1/p^s}.$$

First note that computing numerical values of $L(s)$ (in fact for integer $s \geq 2$ as well as its residue at $s = 1$) is **easy** : $P_0(s)$ is a finite product, $\zeta(2s)$ is easy (in fact explicit), and $\zeta_{k'}(s) = \zeta(s)L(\chi_{D^*}, s)$ can also be easily computed, either by elementary means (χ -Euler Mac-Laurin, recall that D^* is not very large), or using the functional equation.

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Second, note that

$$L(s) = \prod_{\left(\frac{D^*}{p}\right)=1} \frac{1 + 1/p^s}{1 - 1/p^s} = \prod_{\left(\frac{D^*}{p}\right)=1} \left(1 + \frac{2}{p^s} + \dots \right),$$

so is close to the quantity of which we want to compute the residue. In fact, easy result (this is the first part of the “folklore trick”) : we have

$$\prod_{\left(\frac{D^*}{p}\right)=1} \left(1 + \frac{2}{p^s} \right) = \prod_{n \geq 1} L(ns)^{a(n)}, \text{ with } a(n) = \frac{1}{n} \sum_{\substack{d|n \\ 2 \nmid n/d}} \mu(n/d) (-2)^{d-1}.$$

Thus, since $a(1) = 1$, the desired residue at $s = 1$ is equal to $\text{Res}_{s=1} L(s) \prod_{n \geq 2} L(n)^{a(n)}$.

In practice, not used exactly as above, but first compute partial Euler product (say $p \leq 50$ or $p \leq 100$), and rest of partial L , so that the convergence of $\prod_{n \geq 2} L(n)^{a(n)}$ be very fast (this is the second part of the “trick”).

Second, note that

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The Cubic Case : Computing $C_3(D)$ III

In seconds, can compute large tables of $C_3(D)$ to hundreds of decimal places if desired. Examples :

$$C_3(-4) = 0.13621906762412128414498673543420136815$$

$$C_3(-15) = 0.17637191872547206599912366625284592827$$

$$C_3(-39) = 0.21450798544832170587469131992778267288$$

$$C_3(5) = 0.08188400744596363582320375022985579559$$

$$C_3(12) = 0.08038289770565540456224053202127264959$$

$$C_3(24) = 0.08468504275517336717406122046594741323$$

The Cubic Case : Computing $M_3(D; X)$ I

We now consider the problem of computing the exact number $M_3(D; X)$ of cubic fields having given quadratic resolvent field of discriminant D . This is more subtle.

First assume that we are in the case where the set $\mathcal{L}(D)$ of auxiliary fields in the C.-Thorne theorem is **empty**, so that we get a formula with no additional term (in fact a consequence of Scholtz, already observed in C.-Morra). This happens **exactly** when $D < 0$ and $3 \nmid h(D)$, and we then have

$$\Phi_3(D; s) = \frac{1}{2} L_3(s) \prod_{\left(\frac{-3D}{p}\right)=1} \left(1 + \frac{2}{p^s}\right),$$

where $L_3(s) = 1 + 2/3^{2s}$, $1 + 2/3^s$, $1 + 2/3^s + 6/3^{2s}$ for $3 \nmid D$, $D \equiv 3 \pmod{9}$, $D \equiv 6 \pmod{9}$ respectively, and we recall that $M_3(D; X) + 1/2$ is the summatory function of the Dirichlet series coefficients of Φ_3 .

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The Cubic Case : Computing $M_3(D; X)$ II

It is immediate to take care of $L_3(s)$, so must deal with Euler product. Once again, use $\zeta_{k'}(s)$. Here the folklore trick is of no use to us, but note that

$$\frac{\prod_{\left(\frac{D^*}{p}\right)=1} (1 + 2/p^s)}{\zeta_{k'}(s)} = P_1(s)P_0(s)P_{-1}(s), \quad \text{with}$$

$$P_1(s) = \prod_{\left(\frac{D^*}{p}\right)=1} (1 + 2/p^s)(1 - 1/p^s)^{-2},$$

$$P_0(s) = \prod_{p|D^*} (1 - 1/p^s),$$

$$P_{-1}(s) = \prod_{\left(\frac{D^*}{p}\right)=-1} (1 - 1/p^{2s}).$$

The Cubic Case : Computing $M_3(D; X)$ III

Main point : $P_0(s)$ is a finite Euler product, and $P_1(s)$ and $P_{-1}(s)$ are Euler products of the form $\prod_p (1 + O(1/p^{2s}))$. Thus, can obtain a counting algorithm in $O(X^{1/2})$, details omitted.

Remark : we could include other zeta or L functions so that the Euler products be $\prod_p (1 + O(1/p^{3s}))$, but the extra computation needed for these zeta or L function brings the time again to $O(X^{1/2})$.

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Can compute in minutes $M_3(D; 10^{12})$, and in a few days $M_3(D; 10^{20})$.
Examples :

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Know that $M_3(D; X) = C_3(D) \cdot X + O(X^{2/3})$, $C_3(D)$ computed above.
In view of the tables, it seems that the error is closer to $O(X^{1/4+\epsilon})$ for all $\epsilon > 0$.

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The Cubic Case : Computing $M_3(D; X)$ V

For the above computation we have assumed that the set $\mathcal{L}(D)$ of auxiliary fields is empty. When this set is nonempty the problem becomes much more difficult. The main term is treated in the same way, but as far as I can see the auxiliary terms cannot. Consider for example the noncyclic cubic field E of smallest absolute discriminant -23 defined by $x^3 - x - 1 = 0$ (which in fact does not occur as an auxiliary field, but no matter), and define for any prime p , $\omega_E(p) = -1$ if p is inert in E , $\omega_E(p) = 2$ if p is totally split, and $\omega_E(p) = 0$ otherwise, and let

$$\phi_E(s) = \prod_{\left(\frac{-23}{p}\right)=1} \left(1 + \frac{\omega_E(p)}{p^s}\right) =: \sum_{n \geq 1} \frac{a_E(n)}{n^s}$$

and $M(E; X) = \sum_{n \leq X} a_E(n)$.

I do not know how to compute $M(E; X)$ faster than $O(X)$. Help?

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The Cubic Case : Comments

- The theorem used to prove the emptiness of $\mathcal{L}(D)$ when $D < 0$ and $3 \nmid D$ (C.-Morra) is Scholtz's reflection theorem (**Spiegelungssatz**) on the precise link between the 3-ranks of the class groups of $\mathbb{Q}(\sqrt{D})$ and $\mathbb{Q}(\sqrt{-3D})$ (not only an inequality but the condition for equality).
- More generally, the main theorem used in Thorne's theorem given above is the theorem of Nakagawa–Ono on exact identities between class numbers of certain **cubic forms**, leading to a beautiful functional equation for **Shintani's zeta functions** associated to such forms.
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The D_ℓ Case I

Generalizing the cubic case, we now consider degree ℓ extensions with Galois group D_ℓ and given quadratic resolvent k .

The work done in A. Morra's thesis and in C.-Morra can be generalized to that case, although with some difficulty. We obtain a similar expression now involving sums over characters of

$G_b = (Cl_b(L)/Cl_b(L)^\ell)[T]$, where $L = \mathbb{Q}(\sqrt{D}, \zeta_\ell)$,

$G = \text{Gal}(L/\mathbb{Q}) \simeq C_2 \times C_\ell$ or $G \simeq C_\ell$, and T a similar set of one or two elements in the group ring $\mathbb{F}_\ell[G]$. In fact, if $\ell \geq 5$ (more generally if ℓ is greater than or equal to twice the degree of the base field plus 3) a number of formulas **simplify** because the ideals which occur in the computations are now **coprime to ℓ** .

In the cubic case, one of the main objects was the “mirror field” $\mathbb{Q}(\sqrt{-3D})$. In the D_ℓ case, the mirror field is now cyclic of degree $\ell - 1$, equal to $k' = \mathbb{Q}(\sqrt{D}(\zeta_\ell - \zeta_\ell^{-1}))$.

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The D_ℓ Case II

Thus once again we have an “explicit” formula for $\Phi_\ell(D; s)$ involving characters of ray class groups, and we can at least deduce, as in the cubic case, that the counting function $M_\ell(D; X)$ satisfies

$M_\ell(D; X) = C_\ell(D) \cdot X + O(X^{1-1/\ell})$, with the exception of $\ell \equiv 3 \pmod{4}$ and $D = -\ell$, where

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It is now possible to generalize part of one of Thorne's theorem : the bijection will now be between a Galois orbit of characters of order ℓ $(\chi, \dots, \chi^{\ell-1})$ and a field of degree ℓ whose Galois closure is the semi-direct product of $(\mathbb{Z}/\ell\mathbb{Z})^*$ with C_ℓ .

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What is now lacking are two related things :

- A generalization of Scholtz's mirror theorem to the mirror field k' of degree $\ell - 1$ given above. Even though such exist in the literature (work of G. Gras in JTNB), they are not sufficiently precise to be useful. For $\ell = 5$, a result of Y. Kishi (2005) gives such a precise result, so should be able to solve completely that case.
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The quadratic resolvent k of a degree ℓ number field with Galois group D_ℓ is sometimes a subfield of $\mathbb{Q}(\zeta_\ell)$, i.e., equal to $k = \mathbb{Q}(\sqrt{\ell^*})$ with $\ell^* = (-1)^{(\ell-1)/2}\ell$. For $\ell = 3$ these are **pure cubic fields**. What are these fields for higher ℓ , for instance $\ell = 5$? Are they defined by simple polynomial equations?

Here we find a marked difference between $\ell \equiv 1 \pmod{4}$ (k real) and $\ell \equiv 3 \pmod{4}$ (k complex). In particular as mentioned :

- For $\ell \equiv 1 \pmod{4}$, formula more complicated, and the number $M_\ell(k; X)$ of such fields with $f(K) \leq X$ satisfies $M_\ell(k; X) = C_\ell \cdot X + O(X^{1-1/\ell})$.
- For $\ell \equiv 3 \pmod{4}$, conjecturally simplest possible formula (need Scholtz), proved by computer for $\ell \leq 43$, and as mentioned above $M_\ell(k; X) = C_\ell \cdot (X \log(X) + C'_\ell \cdot X) + O(X^{1-1/\ell})$.

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The D_ℓ Case : Algorithmic Aspects I

Once again, we want to compute both the constants $C_\ell(D)$, and the exact value of $M_\ell(k; X)$.

The computation of $C_\ell(D)$ is done using methods similar to, but more complicated than the case $\ell = 3$. In particular, we must replace the function $\zeta_{k'}(s)/\zeta(2s)$ used in that case, by $\prod_{d|\ell-1} \zeta_{k'_d}(ds)^{\mu(d)}$, where k'_d is the unique subfield of k' such that $[k' : k'_d] = d$. Again in seconds we obtain large tables to hundreds of decimals, most of the time being spent in writing a bug-free program !

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The D_ℓ Case : Algorithmic Aspects II

The computation of $M_\ell(k; X)$ is once again more difficult. We first have a conjecture, which is a generalization to D_ℓ of the theorem of C.-Morra :

Conjecture : If $D < 0$ and $\ell \nmid h(D)$, the groups G_b are all trivial. Should be true in particular for $D = -\ell$ when $\ell \equiv 3 \pmod{4}$.

Since for $\ell = 3$ this is a consequence of Scholtz, need a **precise** generalization. Of course, for any **individual** D , can be proved on a computer, so not conjectural. Tested for thousands of (ℓ, D) , and for $D = -\ell$, $\ell \equiv 3 \pmod{4}$, $\ell < 60$.

The D_ℓ Case : Algorithmic Aspects III

When this is satisfied, again simple formula for $\Phi_\ell(D; s)$:

$$\Phi_\ell(D; s) = \frac{1}{\ell - 1} L_\ell(s) \prod_{\substack{p \equiv \left(\frac{D}{p}\right) \equiv \pm 1 \\ (\text{mod } \ell)}} \left(1 + \frac{\ell - 1}{p^s} \right),$$

with $L_\ell(s) = 1 + (\ell - 1)/\ell^{2s}$ if $\ell \nmid D$ and $L_\ell(s) = 1 + (\ell - 1)/\ell^s$ if $\ell \mid D$ (for $\ell \geq 5$).

Use same tricks as before to reduce to the computation of the summatory function of $\zeta_{k'}(s)$. Note k' cyclic of degree $\ell - 1$.

Special and simpler case : $k' = \mathbb{Q}(\zeta_\ell)$. We have

$$\zeta_{k'}(s) = \prod_{0 \leq j < \ell - 1} L(\omega^j, s) := \sum_{n \geq 1} a(n)/n^s,$$

where ω generator of group of Dirichlet characters modulo ℓ . If $M(X) = \sum_{n \leq X} a(n)$, how to compute $M(X)$?

Using recursively the method of the hyperbola, can compute in $O(X^{1-1/(\ell-1)})$ (e.g., $O(X^{1/2})$ for $\ell = 3$, $O(X^{3/4})$ for $\ell = 5$). Help?

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Use same tricks as before to reduce to the computation of the summatory function of $\zeta_{k'}(s)$. Note k' cyclic of degree $\ell - 1$.

Special and simpler case : $k' = \mathbb{Q}(\zeta_\ell)$. We have

$$\zeta_{k'}(s) = \prod_{0 \leq j < \ell - 1} L(\omega^j, s) := \sum_{n \geq 1} a(n)/n^s,$$

where ω generator of group of Dirichlet characters modulo ℓ . If $M(X) = \sum_{n \leq X} a(n)$, how to compute $M(X)$?

Using recursively the method of the hyperbola, can compute in $O(X^{1-1/(\ell-1)})$ (e.g., $O(X^{1/2})$ for $\ell = 3$, $O(X^{3/4})$ for $\ell = 5$). Help ?

The D_ℓ Case : Algorithmic Aspects IV

Can compute in a few days $M_5(D; 10^{13})$ or $M_7(D; 10^{11})$. Examples :

$$M_5(-3; 10^{12}) = 50785334021 \quad M_5(-15; 10^{12}) = 78804743357$$

$$M_7(-3; 10^{10}) = 296332445 \quad M_7(-35; 10^{10}) = 530024447$$

Although the proven error is $O(X^{1-1/\ell})$, in view of the tables, a rather bold guess would give $O(X^{(\ell-2)/(2(\ell-1))+\varepsilon})$.

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The D_ℓ Case : The Special Case I

The “special case” is when $D = \ell^* = (-1)^{(\ell-1)/2}\ell$, which must be treated a little differently. When $\ell \equiv 3 \pmod{4}$, conjecturally simplest formula (true for $\ell < 60$) for instance

$$\Phi_{7, \mathbb{Q}(\sqrt{-7})}(s) = \frac{1}{6} \left(1 + \frac{6}{7^s}\right) \prod_{p \equiv \pm 1 \pmod{7}} \left(1 + \frac{6}{p^s}\right).$$

Recall that $M_7(-7; X)$ is now asymptotic to $C_7(-7) \cdot X \log(X)$ (with $C_7(-7) = 0.01210526342145122980185788033$). Leads for instance to

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The D_ℓ Case : The Special Case II

When $\ell \equiv 1 \pmod{4}$, additional terms. For instance :

$$\Phi_{5, \mathbb{Q}(\sqrt{5})}(s) = \frac{1}{20} \left(1 + \frac{4}{5^s}\right) \prod_{p \equiv 1 \pmod{5}} \left(1 + \frac{4}{p^s}\right) + \frac{1}{5} \prod_p \left(1 + \frac{\omega_E(p)}{p^s}\right),$$

where E is the quintic field of discriminant 5^7 with Galois group $C_4 \rtimes C_5$ defined by $x^5 + 5x^3 + 5x - 1 = 0$, and $\omega_E(p) = -1$ if $p = 5$ or p is inert in E , $\omega_E(p) = 4$ if p is totally split in E , and $\omega_E(p) = 0$ otherwise.

Interestingly, the condition that p is totally split is equivalent to $\varepsilon = (-1 + \sqrt{5})/2$ being a fifth power modulo p ($\varepsilon^{(p-1)/5} \equiv 1 \pmod{p}$), which is faster to test.

Still does not seem to be reducible to an Abelian computation, so time $O(X)$ instead of $O(X^{3/4})$. Help ? Example :

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The Quartic A_4 and S_4 -Case : Introduction

Let K be a quartic field, \tilde{K} its Galois closure, assume $\text{Gal}(\tilde{K}/\mathbb{Q}) \simeq A_4$ or S_4 . There exists a cubic subfield k of \tilde{K} , unique up to conjugation, the **resolvent cubic**. In the same way, we want to compute explicitly $\Phi_4(k; s)$ (if $\text{Gal}(\tilde{K}/\mathbb{Q})$ not A_4 or S_4 , different and simpler). Here Kummer theory much **simpler** since no roots of unity to adjoin.

But S_4 more complicated group : we will need to distinguish between a great number of possible splittings of the prime 2 (more than 20). We first give the result, and then an indication of the (much more complicated) proof. Very similar to the cubic case : Need to define $\omega_E(p)$, and a set $\mathcal{L}(k)$ of quartic fields, but also $s_k(p)$ for a cubic field k .

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The Quartic A_4 and S_4 -Case : Notation

Let p be a prime number.

- If k is a cubic field, we set

$$s_k(p) = \begin{cases} 1 & \text{if } p \text{ is } (21) \text{ or } (1^2 1) \text{ in } k, \\ 3 & \text{if } p \text{ is } (111) \text{ in } k, \\ 0 & \text{otherwise.} \end{cases}$$

- If E is a quartic field, we set

$$\omega_E(p) = \begin{cases} -1 & \text{if } p \text{ is } (4), (22), (21^2) \text{ in } E \\ 1 & \text{if } p \text{ is } (211), (1^2 11) \text{ in } E \\ 3 & \text{if } p \text{ is } (1111) \text{ in } E \\ 0 & \text{otherwise.} \end{cases}$$

(Splitting notation self-explanatory.)

The Quartic A_4 and S_4 -Case : The Theorem I

Let k be a cubic field.

- \mathcal{L}_{k,n^2} : quartic fields with cubic resolvent k and discriminant $n^2 \text{disc}(k)$, in addition totally real if k is totally real.
- $\mathcal{L}(k) = \mathcal{L}_{k,1} \cup \mathcal{L}_{k,4} \cup \mathcal{L}_{k,16} \cup \mathcal{L}_{k,64,tr}$, where the index tr means that 2 must be **totally ramified**.

Theorem (Thorne, C.)

Let k be a cubic field, $r_2(k)$ number of complex places, $a(k) = |\text{Aut}(k)|$ (3 for k cyclic, 1 otherwise). We have

$$2^{r_2(k)} \Phi_4(k; s) = \frac{1}{a(k)} M_1(s) \prod_{p \neq 2} \left(1 + \frac{s_k(p)}{p^s} \right) + \sum_{E \in \mathcal{L}(k)} M_{2,E}(s) \prod_{p \neq 2} \left(1 + \frac{\omega_E(p)}{p^s} \right),$$

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The Quartic A_4 and S_4 -Case : The Theorem II

where $M_1(s)$ and $M_{2,E}(s)$ are Euler factors at 2 which are polynomials of degree less than or equal to 4 in $1/2^s$: 6 splitting types for $M_1(s)$, and 23 types for $M_{2,E}(s)$:

k -split	$M_1(s)$	$8M_1(1)$
(3)	$1 + 3/2^{3s}$	11
(21)	$1 + 1/2^{2s} + 4/2^{3s} + 2/2^{4s}$	15
(111)	$1 + 3/2^{2s} + 6/2^{3s} + 6/2^{4s}$	23
$(1^2 1)_0$	$1 + 1/2^s + 2/2^{3s} + 4/2^{4s}$	16
$(1^2 1)_4$	$1 + 1/2^s + 2/2^{2s} + 4/2^{4s}$	18
(1^3)	$1 + 1/2^s + 2/2^{3s}$	14

(Index 0 or 4 indicates discriminant modulo 8).

The Quartic A_4 and S_4 -Case : The Theorem III

k -split	E -split	n^2	$M_{2,E}(s), E \in \mathcal{L}_{k,n^2}$	k -split	E -split	n^2	$M_{2,E}(s), E \in \mathcal{L}_{k,n^2}$
(3)	(31)	1	$1 + 3/2^{3s}$	$(1^2 1)_0$	(21^2)	1	$1 + 1/2^s + 2/2^{3s} - 4/2^{4s}$
(3)	(1^4)	64	$1 - 1/2^{3s}$	$(1^2 1)_0$	$(1^2 11)$	1	$1 + 1/2^s + 2/2^{3s} + 4/2^{4s}$
(21)	(4)	1	$1 + 1/2^{2s} - 2/2^{4s}$	$(1^2 1)_0$	$(1^2 1^2)$	4	$1 + 1/2^s - 2/2^{3s}$
(21)	(211)	1	$1 + 1/2^{2s} + 4/2^{3s} + 2/2^{4s}$	$(1^2 1)_0$	(1^4)	64	$1 - 1/2^s$
(21)	(2^2)	16	$1 + 1/2^{2s} - 4/2^{3s} + 2/2^{4s}$	$(1^2 1)_4$	(21^2)	1	$1 + 1/2^s + 2/2^{2s} - 4/2^{4s}$
(21)	$(1^2 1^2)$	16	$1 + 1/2^{2s} - 2/2^{4s}$	$(1^2 1)_4$	$(1^2 11)$	1	$1 + 1/2^s + 2/2^{2s} + 4/2^{4s}$
(21)	(1^4)	64	$1 - 1/2^{2s}$	$(1^2 1)_4$	(2^2)	4	$1 + 1/2^s - 2/2^{2s}$
(111)	(22)	1	$1 + 3/2^{2s} - 2/2^{3s} - 2/2^{4s}$	$(1^2 1)_4$	(2^2)	16	$1 - 1/2^s$
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(111)	(1111)	1	$1 + 3/2^{2s} + 6/2^{3s} + 6/2^{4s}$	(1^3)	$(1^3 1)$	1	$1 + 1/2^s + 2/2^{3s}$
(111)	$(1^2 1^2)$	16	$1 - 1/2^{2s} + 2/2^{3s} - 2/2^{4s}$	(1^3)	(1^4)	4	$1 + 1/2^s - 2/2^{3s}$
				(1^3)	(1^4)	64	$1 - 1/2^s$

The Quartic A_4 Case : Example

We give three examples : one in the much simpler A_4 case, two in the S_4 case.

Let k be the cyclic cubic field of discriminant 49 defined by $x^3 - x^2 - 2x + 1 = 0$. We have

$$\Phi_4(k; s) = \frac{1}{3} \left(1 + \frac{3}{2^{3s}} \right) \prod_{p \equiv \pm 1 \pmod{14}} \left(1 + \frac{3}{p^s} \right)$$

Note that since we are in an abelian situation, the splitting of p is equivalent to congruences.

Thus

$$\Phi_4(k; s) = \frac{1}{3} + \frac{1}{8^s} + \frac{1}{13^s} + \frac{1}{29^s} + \frac{1}{41^s} + \frac{1}{43^s} + \frac{1}{71^s} + \frac{1}{83^s} + \frac{1}{97^s} + \frac{3}{104^s} + \dots,$$

where a/f^s means that there are a quartic A_4 -fields of discriminant $49 \cdot f^2$.

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The Quartic S_4 Case : Examples

- Let k be the noncyclic totally real cubic of discriminant 148 defined by $x^3 - x^2 - 3x + 1 = 0$. Then

$$\Phi_4(k; s) = \left(1 + \frac{1}{2^s} + \frac{2}{2^{3s}}\right) \prod_{p \neq 2} \left(1 + \frac{s_k(p)}{p^s}\right).$$

- Let k be the noncyclic totally real cubic of discriminant 229 defined by $x^3 - 4x - 1 = 0$. Then

$$\begin{aligned} \Phi_4(k; s) &= \left(1 + \frac{1}{2^{2s}} + \frac{4}{2^{3s}} + \frac{2}{2^{4s}}\right) \prod_{p \neq 2} \left(1 + \frac{s_k(p)}{p^s}\right) \\ &\quad + \left(1 - \frac{1}{2^{2s}}\right) \prod_p \left(1 + \frac{\omega_E(p)}{p^s}\right), \end{aligned}$$

where E is the S_4 -quartic field of discriminant $64 \cdot 229$ defined by $x^4 - 2x^3 - 4x^2 + 4x + 2 = 0$.

The Quartic A_4 and S_4 Cases : Comments

Comments essentially identical to the cubic case : the number of necessary auxiliary quartic fields $|\mathcal{L}(k)|$ is equal to

$$2^{\text{rk}_2(C_4(k))} - 1 ,$$

where rk_2 is the 2-rank and $C_4(k)$ the ray class group of conductor 4. We do not know how to control this well.

In fact, it is widely conjectured that $N_4(A_4; X) \sim c \cdot X^{1/2} \log X$, but the above does not allow to obtain any nontrivial result (best known, using in fact elementary methods, is $O(X^{3/4+\varepsilon})$).

On the other hand computing the number $N_4(k; X)$ of quartic fields having a given cubic resolvent k and absolute discriminant up to X can again be done **very fast** using the theorem and standard techniques of analytic number theory.

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The Quartic A_4 and S_4 Case : Algorithmic Aspects I

Completely analogous to the cubic or D_ℓ case : need to compute constants $C(k)$ entering in the asymptotics $M(k; X) \sim C(k) \cdot X$, and to compute $M(k; X)$ exactly. For the computation of $C(k)$ we use the same “folklore trick” : in particular we need to compute numerical values of the Dedekind zeta function $\zeta_k(s)$ at positive integers as well as its residue at 1.

This is very easy if k is cyclic (the A_4 case), and not too difficult (using the approximate functional equation) if not since k is a cubic field. This has been done and published 10 years ago.

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Computing $M(k; X)$ is relatively easy only in the A_4 case when $\mathcal{L}(k) = \emptyset$: using the same methods we are reduced to the computation of the summatory function of the coefficients of $\zeta_k(s)$, which is easy to do using the method of the hyperbola since in the cyclic case $\zeta_k(s) = \zeta(s)L(\chi, s)L(\bar{\chi}, s)$, leading to a $O(X^{2/3})$ method.

For instance if k is cyclic cubic of discriminant 49 we have seen that

$$\Phi_4(k; s) = \frac{1}{3} \left(1 + \frac{3}{2^{3s}} \right) \prod_{\rho \equiv \pm 1 \pmod{14}} \left(1 + \frac{3}{\rho^s} \right).$$

We should be able to compute $M(k; 10^{14})$ in a week, and we have $M(k; 10^{10}) = 934968027$ (2 minutes, will go much further of course).

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The Quartic A_4 and S_4 Case : Algorithmic Aspects III

On the other hand if we are either in the A_4 case but with $\mathcal{L}(k)$ nonempty, or in the S_4 case, even though the formulas are completely explicit I do not know how to obtain an algorithm which runs faster than $O(X)$:

- In the A_4 case, because the additional terms need to check whether a prime is totally split or not in a certain quartic A_4 field.
- In the S_4 case, because the **main term** needs to check whether a prime is totally split or not in a noncyclic cubic field.

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The Quartic A_4 and S_4 Case : Indication of Proof I

The techniques are similar to the cubic case (without the complication of adjoining cube roots of unity), but we need to work much more for essentially two reasons.

- First, we must make a precise list of all possible splittings in an S_4 -quartic extension : apparently not in the literature. Done partly in the 1970's by **J. Martinet** and **A. Jehanne**, but incomplete (they could have completed it but did not really need it).
- Second, we need to compute precisely some subtle arithmetic quantities, and this is done using techniques of global, but mainly local class field theory. This was done around 2000 by **F. Diaz y Diaz**, **M. Olivier**, and C.
- We must then study in detail the set of quartic fields $\mathcal{L}(k)$ (this was not necessary in the cubic case), and relate some twisted ray class groups to more common objects.

The Quartic A_4 and S_4 Case : Indication of Proof II

The main theorem of [CDO] is as follows :

Theorem (Diaz y Diaz, Olivier, C.)

Let k be a cubic field. We have

$$\Phi_4(k; s) = \frac{2^{2-r_2(k)}}{a(k)2^{3s}} \sum_{\mathfrak{c} | 2\mathbb{Z}_k} z_k(\mathfrak{c})(\mathcal{N}\mathfrak{c})^{s-1} \prod_{\mathfrak{p} | \mathfrak{c}} \left(1 - \frac{1}{\mathcal{N}\mathfrak{p}^s}\right) \sum_{\chi \in \widehat{G_{\mathfrak{c},2}}} F_k(\chi, s),$$

$$F_k(\chi, s) = \prod_{\mathfrak{p}} \left(1 + \frac{s_{\chi}(\mathfrak{p})}{\mathcal{N}\mathfrak{p}^s}\right), \quad s_{\chi}(\mathfrak{p}) = \sum_{\substack{\mathfrak{a} | \mathfrak{p}\mathbb{Z}_k \\ \mathcal{N}\mathfrak{a} \text{ squarefree}}} \chi(\mathfrak{a}),$$

$z_k(\mathfrak{c}) = 1$ or 2 depending on \mathfrak{c} and the splitting of 2 in k , and $G_{\mathfrak{c},2}$ is essentially (but not exactly) $Cl_{\mathfrak{c},2}(k)/Cl_{\mathfrak{c},2}(k)^2$ (recall that $a(k) = |\text{Aut}(k)|$).

The Quartic A_4 and S_4 Case : Indication of Proof III

For exposition, we treat S_4 . Classical result (Hasse ?) :

Theorem

There is a bijection between S_4 -quartic fields K with cubic resolvent k and quadratic extensions K_6/k of *trivial norm*, i.e., $K_6 = k(\sqrt{\alpha})$ with $\mathcal{N}_{k/\mathbb{Q}}(\alpha)$ a square, so in particular $\mathcal{N}(\mathfrak{d}(K_6/k))$ is a square.

In fact K_6 is the unique extension of k in \tilde{K} such that $\text{Gal}(\tilde{K}/K_6) \simeq C_4$.

In addition $\zeta_K(s) = \zeta(s)\zeta_{K_6}(s)/\zeta_k(s)$ and $\text{disc}(K) = \text{disc}(k)\mathcal{N}(\mathfrak{d}(K_6/k))$.

Finally, if $K_6 = k(\sqrt{\alpha})$ of trivial norm and $x^3 + a_2x^2 + a_1x + a_0$ is the characteristic polynomial of α , a defining polynomial for K is $x^4 + 2a_2x^2 - 8\sqrt{-a_0}x + a_2^2 - 4a_1$.

The Quartic A_4 and S_4 Case : Indication of Proof IV

Proposition

There is a one-to-one correspondence between on the one hand quadratic extensions of k of trivial norm, together with the trivial extension k/k , and on the other hand pairs (\mathfrak{a}, \bar{u}) , where \mathfrak{a} is an integral, squarefree ideal of k of square norm whose class modulo principal ideals is a square in the class group of k , and $\bar{u} \in S[N]$, where

$$S(N) = \{\bar{u}, u\mathbb{Z}_k = \mathfrak{q}^2, \mathcal{N}(u) \text{ square}\} .$$

Using the same theorem of Hecke as in the cubic case, introducing suitable twisted ray class groups and ray Selmer groups, and doing some combinatorial work, we obtain essentially the CDO theorem, where $z_k(\mathfrak{c})$ is given as the index of a twisted ray class group in another.

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Using a number of exact sequences, we can then show that $z_k(\mathfrak{c})$ is the index of $(\mathbb{Z}_k/\mathfrak{c}^2)^*[N]$ in $(\mathbb{Z}_k/\mathfrak{c}^2)^*$, where $[N]$ means the subgroup of elements having a lift of square norm.

This is “elementary” : no more class groups, unit groups, or Selmer groups. However difficult to compute ; we have done it only when k is a cubic field. It uses local class field theory and some rather surprising algebraic arguments.

Challenge : prove without using CFT the following

Proposition

Let k be a cubic field and \mathfrak{p} an unramified prime ideal dividing 2. Then if $\mathfrak{c} = 2\mathbb{Z}_k/\mathfrak{p}$ we have $z_k(\mathfrak{c}) = 1$, in other words any element of $(\mathbb{Z}_k/\mathfrak{c}^2)^$ has a lift of square norm.*

We would be interested to know such a proof. Putting everything together proves the CDO theorem.

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The Quartic A_4 and S_4 Case : Indication of Proof VI

We are now in the same situation as in the cubic case after A. Morra's thesis : the Dirichlet series $\Phi_4(k; s)$ is an explicit finite linear combination of Euler products. However these involve characters over rather complicated class groups, so not sufficiently explicit to allow algorithmic computation. We will do the same as for the cubic case, make it completely explicit and algorithmic.

We essentially need to do four things :

- Compute and/or interpret the twisted class groups $G_{\mathcal{L}_2}$ in terms of more standard types of class groups.
- Determine all possible splitting types of primes in the fields (k, K_6, K) .
- Study the fields in $\mathcal{L}(k)$.
- Interpret the sums over characters of $G_{\mathcal{L}_2}$ as sums over quartic fields $E \in \mathcal{L}(k)$.

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The Quartic A_4 and S_4 Case : Indication of Proof VII

- Twisted class groups $G_{c,2}$: needs to be studied in detail (1 page), uses global CFT but not difficult. This study has a surprising corollary :

Proposition

Let k be a cubic field. There exists $u \in k^$ coprime to 2 such that $u\mathbb{Z}_k = \mathfrak{q}^2$, $\mathcal{N}(u)$ is a square, and $u \not\equiv 1 \pmod{4\mathbb{Z}_k}$.*

I do not know how to prove this without CFT.

- Splitting of primes in (k, K_6, K) . As mentioned, this was partly done by Martinet and Jehanne, but need to do it completely. Two steps : first prove that certain splittings are impossible, second for the remaining ones find examples. For fun, here is the table of impossibilities :

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The Quartic A_4 and S_4 Case : Prime Splits I

k -split	K_6 -split	K -split	Possible for $p \neq 2$?	Possible for $p = 2$?
(3)	(6)	—	ZETA	ZETA
(3)	(33)	(31)	OK	OK
(3)	(3 ²)	(1 ⁴)	SQN	OK
(21)	(42)	(4)	OK	OK
(21)	(411)	—	ZETA	ZETA
(21)	(41 ²)	—	ZETA	ZETA
(21)	(222)	(22)	STICK	STICK
(21)	(2211)	(211)	OK	OK
(21)	(221 ²)	(21 ²)	SQN	GRP(1)
(21)	(2 ² 2)	(2 ²)	OK	OK
(21)	(2 ² 11)	(1 ³ 1)	RAM	RAM
(21)	(2 ² 11)	(1 ² 1 ²)	OK	OK
(21)	(2 ² 1 ²)	(1 ⁴)	SQN	OK

The Quartic A_4 and S_4 Case : Prime Splits II

k -split	K_6 -split	K -split	Possible for $p \neq 2$?	Possible for $p = 2$?
(111)	(222)	—	ZETA	ZETA
(111)	(2211)	(22)	OK	OK
(111)	(221 ²)	—	ZETA	ZETA
(111)	(21111)	(211)	STICK	STICK
(111)	(2111 ²)	(21 ²)	SQN	GRP(2)
(111)	(21 ² 1 ²)	(2 ²)	OK	OK
(111)	(111111)	(1111)	OK	OK
(111)	(1 ² 1111)	(1 ² 11)	SQN	GRP(3)
(111)	(1 ² 1 ² 11)	(1 ² 1 ²)	OK	OK
(111)	(1 ² 1 ² 11)	(1 ³ 1)	RAM	RAM
(111)	(1 ² 1 ² 1 ²)	(1 ⁴)	SQN	OK

The Quartic A_4 and S_4 Case : Prime Splits III

k -split	K_6 -split	K -split	Possible for $p \neq 2$?	Possible for $p = 2$?
$(1^2 1)$	$(2^2 2)$	—	ZETA	ZETA
$(1^2 1)$	$(2^2 1 1)$	$(2 1^2)$	OK	OK
$(1^2 1)$	$(2^2 1^2)$	(2^2)	SQN	GRP(4)
$(1^2 1)$	$(1^2 1^2 2)$	$(2 1^2)$	GRP(5)	GRP(5)
$(1^2 1)$	$(1^2 1^2 1 1)$	$(1^2 1 1)$	OK	OK
$(1^2 1)$	$(1^2 1^2 1^2)$	$(1^2 1^2)$	SQN	GRP(6)
$(1^2 1)_0$	$(1^4 2)$	(2^2)	SQN	PARITY
$(1^2 1)_4$	$(1^4 2)$	(2^2)	SQN	OK
$(1^2 1)$	$(1^4 1 1)$	$(1^2 1^2)$	SQN	OK
$(1^2 1)$	$(1^4 1^2)$	(1^4)	OK	OK
(1^3)	(2^3)	(2^2)	GRP(7)	GRP(7)
(1^3)	$(1^3 1^3)$	$(1^2 1^2)$	GRP(8)	GRP(8)
(1^3)	$(1^3 1^3)$	$(1^3 1)$	OK	OK
(1^3)	(1^6)	(1^4)	SQN	OK

The Quartic A_4 and S_4 Case : Prime Splits IV and $\mathcal{L}(k)$ I

In these tables, anything other than OK means the splitting is impossible, for quite a number of reasons : ZETA because of the zeta relation, SQN because of the square norm condition, STICK because of Stickelberger's theorem, RAM because of ramification indices, and more generally GRP(i) because of case-by-case reasoning on decomposition and inertia groups. The whole study with proof requires 6 tedious pages.

- Study of $\mathcal{L}(k)$: recall that

$$\mathcal{L}(k) = \mathcal{L}_{k,1} \cup \mathcal{L}_{k,4} \cup \mathcal{L}_{k,16} \cup \mathcal{L}_{k,64,tr} .$$

The reason for the importance of this set is :

Proposition

$E \in \mathcal{L}(k)$ if and only if the corresponding K_6 of trivial norm is of the form $K_6 = k(\sqrt{\alpha})$ with α coprime to 2, totally positive, and $\alpha\mathbb{Z}_k = \mathfrak{q}^2$ (i.e., α virtual unit).

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Proposition

- $|\mathcal{L}(k)| = 2^{\text{rk}_2(Cl_4(k))} - 1$.
- $|\mathcal{L}_{k,1}| = (2^{\text{rk}_2(Cl(k))} - 1)/a(k)$.
- $\mathcal{L}_{k,4} = \mathcal{L}_{k,16} = \mathcal{L}_{k,64,tr} = \emptyset$ (equivalently $\mathcal{L}(k) = \mathcal{L}_{k,1}$) if and only if k is totally real and all totally positive units are squares.
- If one of $\mathcal{L}_{k,4}$, $\mathcal{L}_{k,16}$, $\mathcal{L}_{k,64,tr}$ is nonempty the other two are empty.

It is then possible to give in terms of the splitting of 2 in k and the existence or nonexistence of certain virtual units, necessary and sufficient conditions for $\mathcal{L}_{k,4}$, $\mathcal{L}_{k,16}$, or $\mathcal{L}_{k,64,tr}$ to be nonempty. The complete study of these sets require in all an additional 6 pages.

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The Quartic A_4 and S_4 Case : Sums over Characters

- The final thing that we need to do is to show that the sums over characters of G_{c^2} as which occur in the CDO theorem correspond to sums over quartic fields $E \in \mathcal{L}(k)$. Even though this is analogous to the cubic case, it is much more subtle, and again involves some local and global class field theory and 4 additional pages.

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Signatures or Local Conditions I

We may require that our fields K , in addition to having k as cubic resolvent, satisfies a **finite** number of local conditions (for instance splittings of certain primes, etc...). One of the most natural generalizations of our work, already mentioned in [CDO] is to add signature conditions : if k is a cubic field of signature $(1, 1)$ then K has necessarily signature $(2, 1)$. But if k is totally real then K is either totally real or totally complex, and we may want to compute explicitly the corresponding Dirichlet series $\Phi_4^+(k; s)$, where we restrict the sum to totally real K .

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$$\Phi_4^+(k; s) = \frac{1}{a(k)2^{3s}} \sum_{c|2\mathbb{Z}_k} z_k(c) (\mathcal{N}c)^{s-1} \prod_{p|c} \left(1 - \frac{1}{\mathcal{N}p^s}\right) \sum_{\chi \in \widehat{G_{c^2}^+}} F_k(\chi, s),$$

with the same definition of $z_k(c)$ and $F_k(\chi, s)$, and $G_{c^2}^+$ is a “narrow” twisted ray class group.

Thus the only difference with the CDO theorem is the replacement of G_{c^2} by $G_{c^2}^+$, and the coefficient in front equal to 1 instead of $2^{2-r_2(k)} = 4$ since k is totally real.

As a consequence (already noted in CDO) it is a **theorem** that asymptotically the proportion of totally real K with given cubic resolvent k among all of them is $1/4$: in fact we can prove that the convergence is quite fast (at least $O(X^{-1/2})$, but in practice $O(X^{-3/4+\varepsilon})$).

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Signatures III

We then transform the CDO+ theorem into a theorem of the same nature as the main theorem without signatures : the only changes are : first, an additional factor of $1/4$, and second and more importantly, the set $\mathcal{L}(k)$ is changed into a new set $\mathcal{L}^*(k)$, where we simply remove the condition that E be totally real when k is totally real. We give one example in the A_4 case and one in the S_4 case.

Example for A_4 : Let again k be the cyclic cubic field of discriminant 49. Then

$$\Phi_4^+(k; s) = \frac{1}{4} \left(\Phi_4(k; s) + \left(1 - \frac{1}{2^{3s}}\right) \prod_{p \in \mathbb{Z}_k = \mathbb{P}_1 \mathbb{P}_2 \mathbb{P}_3} \left(1 + \frac{\omega_E(p)}{p^s}\right) \right),$$

where E is the totally complex A_4 -quartic field of discriminant $64 \cdot 49$ with cubic resolvent k defined by $x^4 - 2x^3 + 2x^2 + 2 = 0$.

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Example for S_4 : Let k be the noncyclic totally real cubic field of discriminant **229** defined by $x^3 - 4x - 1 = 0$. Then

$$\begin{aligned} \Phi_4^+(k; s) = & \frac{1}{4} \left(\Phi_4(k; s) + \left(1 + \frac{1}{2^{2s}} - \frac{2}{2^{4s}} \right) \prod_{p \neq 2} \left(1 + \frac{\omega_{E_1}(p)}{p^s} \right) \right. \\ & \left. + \left(1 - \frac{1}{2^{2s}} \right) \prod_{p \neq 2} \left(1 + \frac{\omega_{E_{64}}(p)}{p^s} \right) \right), \end{aligned}$$

where E_1 is the unique totally complex quartic field of discriminant **229** and cubic resolvent k defined by $x^4 - x + 1 = 0$ and E_{64} is the unique totally complex quartic field of discriminant $64 \cdot 229$ and cubic resolvent k in which **2** is totally ramified, defined by $x^4 - 2x^3 + 4x^2 - 2x + 5$.